# THE ROLE OF NEGROES IN THE ETHNIC FORMATION OF CEARÁ: THE NEED FOR A REAPPRAISAL

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#### 1. INTRODUCTION

The ethnic formation of Ceará has received considerable discussion in scholarly works dealing with that area, particularly with respect to the role of the indigenous peoples, the Indians. Emphasis in such discussions has been placed on the miscegenation of these peoples with the settlers of Portuguese stock. Such an emphasis may have resulted in a relatively high degree of distortion, largely because the roles of peoples of African descent in the formation of the cearense have been but seldom mentioned and even less investigated. The purpose of this article, accordingly, is to present data concerning the roles of that race in the formation of the cearense and to make suggestions as to their relative significance.

## 2. TREATMENT OF NEGROES IN CEARENSE STUDIES

Heretofore, the history of Negroes in Ceará has been limited largely to the background of the campaign to liberate slaves, a noble cause which reached its peak in the early to mid 1880's. Little attention, on the other hand, has been given to the actual role of

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blacks in the society of Ceará over the course of its development.1 Indeed, most of those who have written on Ceará either ignore Negroes — except, as stated previously, for the abolitionist compaign — - or affirm that Negroes played little or no role in the development of Ceará. In this latter case, examples are numerous. Among the older works, Cruz Filho's História do Ceará declares that the African element entered into the formation of the cearense to the exact proportion of 8.65%. 2 I am inclined to wonder how Cruz Filho arrived at such an exact figure, but, be that as it may, it is a very small one. Gustavo Barroso in Terra de Sol, to cite another, presents a more judicious view of the matter but also appears to conclude that the presence of the cabra, mulato, and Negro in Ceará has been of very minor significance, especially when compared to whites and Indians. 3 In both of these works, little else appears concerning Negroes, a fact which alone would leave the reader with the impression that there was never in Ceará a sufficient number of people of African heritage to bear mentioning, again except in connection with the abolitionist movement.

Two more recent references to the matter indicate that this by now well established tradition continues to be the accepted view in cearense studies. First, in the often cited and esteemed history of Ceará by the cearense hstorian, Raimundo Girão, one finds only a few lines on Negroes in the chapter on the ethnic formation of the area. After an extended discussion of Indians. Girão writes: "Dai porque a percentagem do sangue africano é pequena dentro das velas do cearense. E também porque, humilde e pouco, o negro não pôde subir na escala social, ficou em baixo, sem ânimo de interferir na misclagem da étnica cearense. O Ceará, na realidade nunca foi uma negrecia."4 And, more recently, the cearense sociologist, Mozart Soriano Aderaldo, reaffirmed the tradition more clearly in stating that "O Ceará é uma clareira na confusão racial do Brasil. A miscigenação entre nós se limitou ao português ambicioso e sensual com o índio matreiro e desconfiado. E a raca se tem conservado sem novos enxertos que a desfigurem." 5 Finally, on a broader scale, Gilberto Freyre in writing of the Northeastern sertão in general, has lent his weight to the belief that Negroes and the institution of slavery were of little significance in the formation of the people and culture of that area. 6

### 3. BACKGROUND OF THIS PRESENT STUDY

I became aware of this generally accepted tradition in regard to the ethnic formation of Ceará when conducting archival and field research a few years ago on the society and history of the Sertão dos Inhamuns, located in Southwestern Ceará. Noting the presence in Ceará of significant numbers of persons with recognizable Negroid features and delving into census figures — most of which give data on racial classification — I came to believe that the tradition might be worth an examination. Data were gathered during 1965-1966 in Fortaleza and Rio de Janeiro and again in 1969. It became apparent that the usual views of the roles of Negroes in Ceará were based more on popular assumptions than scholarly research. This is not, of course, to subject to criticism those historians and other scholars who have echoed the tradition (and least of all those previously cited in this article), for, after all, there did not exist the necessary studies of the matter for general historian and others to consult. It is then for the purpose of initiating a discussion and partially remedying a deficiency by offering a preliminary inquiry into the subject that this present study is offered.

# 4. CENSUS DATA

The starting place for the historical study of the role of Negroes in the formation of cearense society and culture appeared to be the attempt to determine how many persons of recognizable Negroid ancestry existed in the area in the time of its development .In other words, just how much Negroid blood flowed into the veins of cearenses when the scciety was being formed? How agressive where blacks in propagating their own kind and in infiltrating other racial stock? Fortunately, adequate data exist in various censuses from the 19th century to answer these questions in a fairly conclusive manner, such data from the 19th century affording a good picture of the society in an advanced state of formation in view of the fact that it immediately followed the century of principle colonization. Data extracted from four censuses from the 19th century - including the earliest available censuses of Ceará - and reproduced in the appended tables show the probable number of cearenses identified as possessing recognizable Negroid stock. In the tables, in addition, all of those identified by census reports as possessing recognizable Negroid stock (pretos, mulatos, pardos ) are combined to produce a composite figure indicating the proportion of persons of probable whole or partial Negroid heritage in the total population. Readers are referred to the data in the appended tables for full information; a brief summary of this data is presented in the following table.

# SUMMARY OF APPENDED CENSUS DATA

Year of co	Population of Ceará as ensus reported	Pretos, par- dos, mulatos	Proportion of total population of pretos, pardos and mulatos (%)
1804	77.369	47,033	60.0
1808	120,386	68,440	56.9
1813	149,285	98,412	65.9
1872	689,773	368,100	53.4

# 5. ANALYSIS OF CENSUS DATA AND SUGGESTIONS FOR FURTHER RESEARCH

From the foregoing census data, we may draw the following conclusions.

a. Persons considered to be of recognizable Negroid stock (either whole or partial) consistently comprised near one half of the total population of Ceará. b. Such consistency indicates that rather than being an impassive element losing out racially to whites and Indians, Negroes were an aggressive group actively propagating their kind and infiltrating other racial groups. c. Persons of either whole or partial Negro ancestry constituted a numerous and proportionally important element in the ethnic formation of Ceará.

I am aware, of course, of problems in using census data, and the defects of such data from the 19th century are will known. Also the imprecision of racial classification by untrained census takers and as well the lack of precise definition of the racial categories employed are major problems. All of these defects have to be recognized in judging the validity of our data. In particular there is considerable difficulty concerning the racial classification of "pardo", a category utilized in our censuses of 1804 and 1872. In later censuses, to be sure, pardo came to be virtually synonimous with mestico and could include various mixtures of white, Indian, and Negro ancestry, the word itself essentially signifying dark or brown-skinned. Fortunately, however, we have two other censuses — those of 1808 and 1813 — in which the term is not employed and in which the more precise ones of Indio and mulato are used; and the similarities of the per-

centages of these two censuses with the other two should be noted. Note also that in the census of 1872, caboclo — meaning of at least partial Indian ancestry — is included as a classification; thus opportunities were afforded to distinguish between dark-skinned persons of probable partial Indian ancestry and dark-skinned persons of probable partial African descent. With these things in mind, then, the use of the term "pardo" in the censuses we employ may be less of a problem than on the surface it would seem to be. When even inadequate census data then show a consistently clear pattern throughout the century — as our data do — a good case is established for a high degree of its general validity. After all is said and after all due allowances have been made for the deficiencies of the data, we are led to the conclusion that the role of Negroes in the formation of the cearense has been considerable and deserves far more attention than it has received to this date.

Let me make a few suggestions as to the direction of future research on the ethnic formation of Ceará. Attention, in the first place, needs to be given to the origins and racial cultural charatecteristics of those peoples who entered and settled Ceará from the late 17th century forward. It is known generally that they came from the Northeastern coastal area and its immediate interior, and this fact alone would seem to suggest that Ceará possessed a large Negro element from the beginning, simply for the reason that its settlers came from areas where slavery was of significance and where Negroes often made up large proportions of the general population. In a consideration of the ethnic formation of Ceará, moreover. Negroes should be regarded as a general element in the movement of peoples to the area and in its subsequent development and not linked only with the institution of slavery and the abolitionist campaign, for the appended census data make it clear that by the early 19th century free persons of full or partial Negroid ancestry greatly outnumbered the enslaved ones. Thus - and this is the crucial point — the history of Negroes in this area is not chiefly that of a numerically insignificant enslaved group existing in a state of sexual lethargy but rather of a general element which was playing a vital and active role in the formation of the general population and its culture.

This present study, unfortunately, deals with the numerical significance of Negroes in Ceará and not with their contribution to culture. This is, nonetheless, another area which deserves attention. Negroes as slaves — apart from that more numerous population of free Negroes — to suggest one area of research, — may have had a greater influence on the elite class of Ceará than has been supposed, as a result of the fact that slaveholding among that small but pri-

vileged class was widespread. Hence, many of the influences of slaves on the elites indicated in the work of Freyre probably were present in Ceará as well. The relationship between the casa grande and the senzala undoubtedly also existed on the fazendas of Ceará. One of the great fazendeiros of that area, José do Vale Pedrosa (of the Feitosa parentela of the Inhamuns), possessed on his death in 1843 some 263 slaves or more, a clear indication that Negroes and slavery were not insignificant in the Inhamuns portion of Ceará.8

To suggest a related matter, that body of African survivals and folk culture described by Freyre probably was present in Ceará and merged into the general culture. The origins of folk culture with respect to its ingredients are not always easy to determine (as the work of George Foster ,among others, points out 9) but reason would suggest that African survivals may be more numerous in cearense culture than has been believed. Conversely, the Indian contribution to both the culture and physical stock of the cearense may have been exaggerated. The Indians of this area, never very numerous, were of a relatively low cultural level and quite fierce, qualities which limit peaceful contacts. <sup>10</sup> (Note in the appended censuses that Indians and caboclos, when included as separated categories, are given as few in number when compared to Negroes and others of partial Negroid stock except in the traditional Indian communities such as Vicosa, Messejana etc.).

Finally, a word of caution. There is no suggestion here that peoples of Negroid African stock and their cultures have ever had as much importance in Ceará as they had in the old sugar growing (zona de acúcar) Northeastern coastal region, for obviously they have not. To be sure, persons of Negroid ancestry from that area and elements of their culture penetrated the sertão and helped to mold the societty and its people; yet, in the sertão they, along with settlers of other stock, battled with the physical environment and they, with the others, produced a society of the sertão which has its distinctive characteristics. Ceará is not a replica of Bahia or Pernambuco. But, with this said, in the matter of significant Negroid participation in the formation of its psycal stock - and quite likely its culture as well - it appears that Ceará shares with these areas greater similarities than have heretofore been recognized in cearense studies. The tradition that the cearense and his culture are derived almost wholly from the Indian and the Portuguese (and consequently that Negroes contributed almost nothing) — the prevailing view among cearense scholars to date - needs to be examined and reassessed, and it is hoped that this modest contribution may serve as a point of departure.

# APPENDIX

centages of persons of full or partial Negroid stock in the total population. These percen-The last column in each table shows the pertages are the result of my calculations. Note: CENSUS OF 1804 TABLE 1

Vila	Brancos	Pretos e pardos livres	Pretos e par- dos escravos	Totais	Pretos e pardos (%)
Aquiraz Aracati São Bernardo Icó Crato São João do Príncipe Granja Sobral Campo Maior	2,679 2,339 3,753 3,822 6,797 5,361 1,047 2,781 1,757	2,145 1,490 2,769 3,522 12,793 3,231 1,656 4,193 2,986 34,785	702 1,102 943 1,507 1,091 1,856 799 2,978 1,270	5,526 4,931 7,465 8,851 20,681 10,448 3,502 9,952 6,013 77,369	51.5 52.6 49.7 49.7 56.8 67.1 70.1 70.1 70.8
TOURTS	200,00				

Source: "Mapa da população da Capitania do Ceará Grande, apresentado a Sua Alteza Real, no mês de junho de 1804, pelo seu Governador da mesma Capitania — João Carlos Augusto de Oeynhausen", in "Administração de João Carlos Augusto Oeynhausen no Ceará (Documenttos da Coleção Studart), Revista do Instituto do Ceará, Vol. 39 (1925), p. 279. A note accompanying this census states that results from the vilas of Indians were not included.

TABLE 2 CENSUS OF 1808

Vila	Brancos	Índios	Mulatos	Pretos	Totals	Pretos e mulatos (%)
Fortaleza	3,726	174	4,645	1,079	9,624	59.5
Aguiraz	3,788	538	2,262	2,939	9,527	54.6
Aracati	2,371	79	1,054	1,829	5,333	54.1
São Bernardo	5,287	43	3,181	2,276	10,787	50.5
Icó	7.018	220	7,243	3,217	17,698	59.1
	3,694	178	4,378	3,485	11,735	67
Crato	1,868	120	2,812	1,715	6,515	69.5
Campo Maior	3,535	117	2,536	1,372	7,560	51.7
São João do Principe		397	8,589	2,007	14,629	72.4
Sobral	3,636	79	1,790	1,172	4,924	60.2
Granja	1,883		2,866	1,362	7,623	55.5
Vila Nova d'El-Rei	3,014	381	350	123	1,415	33.4
Arronches	75	867		84	1.570	21.2
Messejana	41	1,185	250	55	767	24.5
Souré	33	546	133		2,745	66
Monte Mor o Novo	805	126	1,659	155		23.1
Viçosa Real	1,437	4,666	1,583	248	7,934	56.9
Totais	42,221	9,716	45,322	23,118	120,386	30.9

Source: Luiz Barba Alardo de Menezes, "Memória Sobre a Capitania do Ceará", Revista do Instituto Histórico, Geográfico e Ethnográphico do Brasil Vol. 34, n.º 1 (1871), Mappa n.º 3, following, p. 273. I corrected minor errors in the totals.

TABLE 4

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e <b>par-</b>	Totais	Caboclos	Pretos	Sardos	Brancos		Freguesia
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Mulatos

e pre- tos (%)	06	23.5		21.8		26.1			09			29.5		62.9
Totais	6,240	1,403		1,768		1,131			4,807			8,500		149,285
Pretos	672	8 9	28	32	10	36	126	374	200	160	448	809	13,179	24,051
Mulatos	4,979	324	351	353	16 244	260	2,318	71	2,389	1,823	78	1,901	68,025	74,361
fudios	873	873	1,273	1,273	802	805	481		481	4,259		4,259	9,196	9,196
Brancos	589	200	110	110	30	30	1,433		1,433	1,732		1,732	41,659	41,659
	HH	田田	니때	H	L E	H	L	国	H	J	国	H		
Vila	Vila Nova d'El-Rei	Arronches		Messejana		Souré			Monte Mor o Novo			Viçosa Real		Totais

Source: Mappa da população da Capitania do Ceará extrahido dos que derão as Cappitães móres em o This is a document in the Biblioteca Nacional, Seção de Manuscriptos, Ceará, Lata 1.8, Doc. n.º 3. A note anno de 1813.

on the document states that in some vilas the capitans-mores included Indians in the totals for whites. No figures were given in this census for the Vila of I.6.

TABLE 3 CENSUS OF 1813

							Mulatos
Vila		Brancos	fudios	Mulatos	Pretos	Totais	e pre- tos (%)
	Livres	5,304		4,972	1,385		
Fortaleza	Totals	5.304		5.675	1.836	12,815	58.6
	ı	4,621	334	2,077	1,812		
	闰			099	1,296		
Açuiraz	T	4,621	334	2,737	3,108	10,800	54.1
	L	2,613		1,798	1,812		
	田			320	933		
Aracati	H	2,613		2,118	2,745	7,476	65
	L	5,849		2,567	1,134		
	田			530	892		
São Bernardo	T	5.849		3,097	2,026	10,972	46.5
	L	1,502		24,180	5,191		
	田			999	1,028		
Crato	H	1,502		24,846	6,219	32,567	95.4
	ı	1,770		3,034	354		
	日			155	922		
Campo Maior	T	1,770		3,189	1,276	6,235	71.6
	L	3,281		1,770	475		
	国			570	925		
São João mo Príncipe	T	3.281		2,340	1,400	7,003	53
	L	2,692	219	9,347	788		
	闰			1,068	1,091		
Sobral	L	2,692	219	10,415	1,879	15,202	80.9
	L	1,316		1,559	275		
	闰			93	463		
Granja	H	1,316		1,652	738	3,706	64.5
	ı	589		4,801	185		
	[E			178	487		

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Source: I Rio de Ja basis of presented province.	Província do Ceará	Sobral	S. José de Granja	to	S. da	Freguesia
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extracted 1, 1873-76 2 the site results	Ceará	ceição	anja		da Penha do	
Source: Data extracted from Recenseamento da População do Império do Brasil de 1872 (6 vols., Rio de Janeiro, 1873-76), Vol. 3, pp. 1-176. The freguesias selected for inclusion here were chosen on the basis of being the sites of the older municipios in Ceará. For reasons of conserving space, I have not presented the results from every freguesia in the province. The totals, however, are for the entire province.	Н	러분	ITH.	H T H	H C	
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do Inected or res						
npério for incl sons of totals,	28,934	881 1,846	992	2,054 746	1,743	Pretos
do Bruusion l'conse	52,837	1,381	2,484 1,381	2,947	2,947	Cab
rving er, a	337	381	184	947	947	Caboclos
de vere ( spac re fo	689,773	29	14	18		To
1872 chose e, I l	,773	29,658	14,633	18,471		Totais
(6 v n on nave en	53.4	61.8	49.9	59.3		Pretos e par- dos (%
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#### NOTES

- 1. On the abolitionist movement in Ceará, see Raimundo Girão. A Abolição no Ceará (Fortaleza, 1956), and, for a recent summary, Robert Conrad The Destruction of Brazilian Slavery (Berke'ey, 1972) pp. 170-189. For a brief study of slavery and abolitionism in one area of the province, see my The Feitosas and the Sertão dos Inhamuns: The History of a Family and a Community in Northeast Brazil. 1700-1930 (Gainesville, 1972), pp. 146-156.
- 2. (São Paulo, 1931), p. 80.
- 3. (6th ed., Fortaleza, 1962), p. 136.
- 4. Pequena História do Ceará (2nd ed., Fortaleza, 1962), p. 100.
- "Recebendo Parsifal Barroso no Instituto do Ceará". Revista do Instituto do Ceará. Vol. 81 (1967), p. 293.
- Gilberto Freyre, The Masters and the Slaves (New York, 1946), pp. 154-155,
   399-400. To cite another similar treatment, see Djacir Menezes, O Outro Nordeste (2nd ed., Rio de Janeiro, 1970), pp. 43-68 specially p. 51.
- 7. The 1940 census, to cite one from the 20th century, shows that pretos and pardos continued to comprise a large percentage of the population of Ceará. Percentages of these two racial groups combined from selected municipios are as follows. Aquiraz: 61.2; Aracati: 42.8; Crato: 41.3; Fortaleza: 44.5; Granja: 50; Icó: 20.8; Ipu: 49.1; Quixeramobim: 54.3; Sobral: 65; Tauá: 49.3. The foregoing figures are mine, based on date extrated from Recenseamento Geral do Brasil 1940. (Vol. 1, pt. 6, Rio de Janeiro, 1950).
- 8. Chandler, Feitosas and the Sertão dos Inhamuns. p. 147
- 9. See Foster's Culture and Conquest (New York, 1960).
- On the Indians of Ceará, see Carlos Studart Filho, Os Aborígines do Ceará (Fortaleza, 1956).